SAT-Based Enumeration of Solutions to the Yang-Baxter Equation

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March 19, 2025



- 1. The Yang-Baxter Equation and Cycle Sets
- 2. Constraint Programming Approach
- 3. SAT-based Approach
- 4. Partially Defined Cycle Sets
- 5. Minimality Check
- 6. Results and Conclusion

1. The Yang-Baxter Equation and Cycle Sets

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YANG-BAXTER EQUATION

Yang-Baxter Equation [Yan67, Bax72]

A solution to the Yang-Baxter equation (YBE) is a pair (V, R), where V is a vector space and $R: V \otimes V \to V \otimes V$ is a map such that in $V \otimes V \otimes V$,

 $R_1R_2R_1 = R_2R_1R_2$, (the original Yang-Baxter Equation)

where $R_1 = R \otimes id$ and $R_2 = id \otimes R$.

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Set-Theoretic Yang-Baxter Equation (YBE) [Dri92]

A set-theoretic solution to the YBE is a pair (X, r), where X is a non-empty set and $r: X^2 \to X^2$ is a map such that in X^3 ,

 $r_1r_2r_1 = r_2r_1r_2$, (the Yang-Baxter Equation)

where r_i acts as r on components i and i + 1 and as the identity on the other component.

▶ These solutions are a subset of the solutions to the *original* Yang-Baxter equation.

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- ▶ These solutions are a subset of the solutions to the *original* Yang-Baxter equation.
- Two set-theoretic solutions (X, r) and (X, s) are isomorphic if there exists a bijection $f: X \to X$ such that $(f \times f)r = s(f \times f)$.

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- A set-theoretic solution is called involutive if $r^2 = id_{X \times X}$.
- ► A set-theoretic solution (X, r) with $r(x, y) = (\sigma_x(y), \tau_y(x))$ is called non-degenerate if the maps σ_x and τ_x are bijective for all $x \in X$.

EXAMPLE

▶ The pair (X, r) with X the ring of integers modulo $n \in \mathbb{N}$ and r(x, y) = (y + 1, x - 1) is a non-degenerate, involutive solution.

$$\forall x, y, z \in X :$$

$$\begin{aligned} r_1 r_2 r_1(x, y, z) & r_2 r_1 r_2(x, y, z) \\ &= r_1 r_2(y+1, x-1, z) & = r_2 r_1(x, z+1, y-1) \\ &= r_1(y+1, z+1, x-2) & = r_2(z+2, x-1, y-1) \\ &= (z+2, y, x-2) & = (z+2, y, x-2) \end{aligned}$$

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$$\forall x, y \in X :$$

$$r^{2}(x, y) = r(y + 1, x - 1) = (x, y)$$

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$$\begin{aligned} r: X^2 &\to X^2, (x, y) \mapsto (\sigma_x(y), \tau_y(x)) \text{ where:} \\ \forall x \in X : \sigma_x : X \to X, x \mapsto x+1 \\ \forall x \in X : \tau_x : X \to X, x \mapsto x-1 \end{aligned}$$

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EXAMPLE

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- Given $f: X \to X: x \mapsto n x$, the solution (X, s) with s(x, y) = (y 1, x + 1) is isomorphic to (X, r).

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Cycle Sets

A cycle set (X, \cdot) is a pair consisting of a non-empty set X and a binary operation \cdot on X that fulfills the following relations:

- 1. the map $\phi_x: X \to X: y \mapsto x \cdot y$ is bijective for all $x \in X$ and
- 2. for all $x, y, z \in X$:

$$(x \cdot y) \cdot (x \cdot z) = (y \cdot x) \cdot (y \cdot z).$$
 (the cycloid equation)

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▶ A cycle set (X, \cdot) is called non-degenerate if the map $T: X \to X: x \mapsto x \cdot x$ is bijective.

RELATION TO SET-THEORETIC YBE

Given:

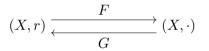
- ► the set *I_n* of finite, non-degenerate, involutive solutions to the YBE,
- ► the set C_n of finite, non-degenerate cycle sets,
- the map $F: \mathcal{I}_n \to \mathcal{C}_n, (X, r) \mapsto (X, \cdot)$ where:

•
$$r(x, y) = (\sigma_x(y), \tau_y(x)),$$

• $x \cdot y = \tau_x^{-1}(y),$

• the map $G: \mathcal{C}_n \to \mathcal{I}_n, (X, \cdot) \mapsto (X, r)$ where:

$$\begin{array}{l} \blacktriangleright \quad r(x,y) = ((y \diamond x) \cdot y, y \diamond x), \\ \blacktriangleright \quad x \diamond y = \phi_x^{-1}(y). \end{array}$$



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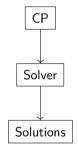
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ENUMERATING SOLUTIONS

CONSTRAINT PROGRAMMING APPROACH

- Based on [AMV22].
- Model cycle set definition as a constraint problem (CP).

Enumerate all solutions using a constraint solver.

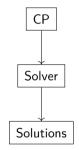


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ENUMERATING SOLUTIONS

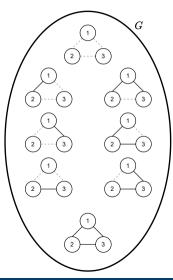
CONSTRAINT PROGRAMMING APPROACH

- Based on [AMV22].
- Model cycle set definition as a constraint problem (CP).
 - Add additional constraints to ensure that solutions are constructed up to isomorphism
- Enumerate all solutions using a constraint solver.



ENUMERATING UP TO ISOMORPHISM

INTERMEZZO



D. Van Caudenberg et al. (KUL, VUB)

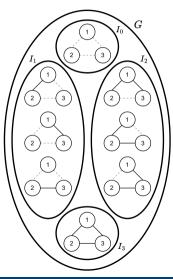
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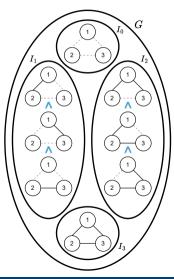
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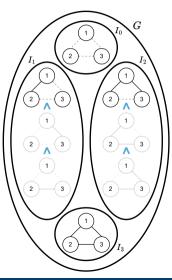
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INTERMEZZO



Constraint Satisfaction Problem (CSP)

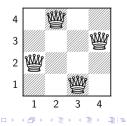
A CSP is a triple $\langle X, D, C \rangle$, where:

- $X = \{x_1, x_2, \dots, x_n\}$ is a set of variables,
- ▶ $D = \{D_1, D_2, \dots, D_n\}$ is a set of domains for these variables (i.e., $x_1 \in D_1, x_2 \in D_2, \dots, x_n \in D_n$)
- ▶ and $C = \{C_1, \ldots, C_m\}$ is a set of constraints.

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- Example: 4-Queens problem

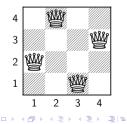


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$$\blacktriangleright X = \{Q_i \mid i \in \{1, 2, 3, 4\}\}$$



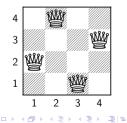
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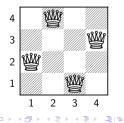


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$$\begin{array}{l} \blacktriangleright \quad X = \{Q_i \mid i \in \{1, 2, 3, 4\}\} \\ \blacktriangleright \quad D = \{D_i = \{1, 2, 3, 4\} \mid i \in \{1, 2, 3, 4\}\} \\ \blacktriangleright \quad C = \{Q_i \neq Q_j \land |Q_i - Q_j| \neq |i - j| \mid i, j \in \{1, 2, 3, 4\}\} \end{array}$$



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Constraint Satisfaction Problem (CSP)

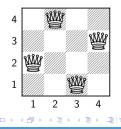
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- $C = \{Q_i \neq Q_j \land |Q_i Q_j| \neq |i j| \mid i, j \in \{1, 2, 3, 4\}\}$
 - When enumerating:
 - ${\cal C}={\cal C}\cup {\rm constraints}$ excluding previously found solutions



MODELLING CYCLE SET AS CP

- A cycle set (X, ·) consists of a non-empty set X and a binary operation · on X s.t.:
 - for all x ∈ X, the map φ_x : X → X : y ↦ x ⋅ y is bijective,
 for all x, y, z ∈ X, (x ⋅ y) ⋅ (x ⋅ z) = (y ⋅ x) ⋅ (y ⋅ z),
 - 3. the map $T: X \to X: x \mapsto x \cdot x$ is bijective. (non-degenerate)

► Each finite cycle set (X, ·) can also be represented by a matrix C where

•
$$\mathbf{C} \in X^{|X| \times |X|}$$
, and

•
$$\mathbf{C}_{x,y} = x \cdot y$$
 for all $x, y \in X$.

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- ► Each finite cycle set (X, ·) can also be represented by a matrix C where
 - 1. for all $x \in X$, $\mathbf{C}_{x,y} \neq \mathbf{C}_{x,z}$ for all $y, z \in X$ with $y \neq z$,

2. for all
$$x, y, z \in X$$
,

 $\mathbf{C}_{\mathbf{C}_{x,y},\mathbf{C}_{x,z}} = \mathbf{C}_{\mathbf{C}_{y,x},\mathbf{C}_{y,z}},$ 3. for all $x \in X$, $\mathbf{C}_{x,x} \neq \mathbf{C}_{y,y}$ for all $y \in X$ with $y \neq x$. (non-degenerate)

MODELLING CYCLE SET AS CP

ISOMORPHISMS

- Isomorphisms between cycle sets are well-defined,
- but what do they correspond to in the context of our CP model?
- The cycle sets (X, ·), (X, ×) are isomorphic if there exists a bijection f : X → X s.t. f(x · y) = f(x) × f(y) for all x, y ∈ X.
- ► The cycle set matrices $C, C' \in X^{|X| \times |X|}$ are isomorphic if there exists a bijection $\pi : X \to X$ s.t. $\mathbf{C}'_{x,y} = \pi(\mathbf{C})_{x,y}$ for all $x, y \in X$, where $\pi(\mathbf{C})_{x,y} = \pi^{-1}(\mathbf{C}_{\pi(x),\pi(y)}).$

LEXICOGRAPHIC ORDER AND PERMUTATIONS

Given the cycle set ({1,2,3,4}, ·), its associated matrix C and an isomorphism π = (12);
 we determine π(C) = π⁻¹(C_{π(i),π(j)}).

$$\mathbf{C} = \begin{bmatrix} 2 & 3 & 4 & 1 \\ 4 & 1 & 2 & 3 \\ 2 & 3 & 4 & 1 \\ 4 & 1 & 2 & 3 \end{bmatrix}$$

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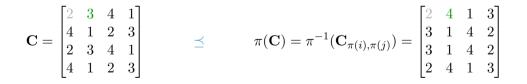
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LEXICOGRAPHIC ORDER AND PERMUTATIONS

• Given the cycle set $(\{1, 2, 3, 4\}, \cdot)$, its associated matrix C and an isomorphism $\pi = (12)$;

▶ C is lexicographically smaller than or equal to $\pi(C)$ (denoted $C \leq \pi(C)$) if

$$(\mathbf{C}_{1,1},\ldots,\mathbf{C}_{n,n}) \leq (\pi(\mathbf{C})_{1,1},\ldots,\pi(\mathbf{C})_{n,n}).$$



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CYCLE SETS (UP TO ISOMORPHISM)

- ▶ A lexicographically minimal, finite, non-degenerate cycle set (X, \cdot) can be represented by a matrix $\mathbf{C} \in X^{|X| \times |X|}$ where
 - ▶ for all $x \in X$, $\mathbf{C}_{x,y} \neq \mathbf{C}_{x,z}$ for all $y, z \in X$ with $y \neq z$

▶ for all
$$x, y, z \in X$$
, $\mathbf{C}_{\mathbf{C}_{x,y},\mathbf{C}_{x,z}} = \mathbf{C}_{\mathbf{C}_{y,x},\mathbf{C}_{y,z}}$

- for all $x \in X$, $\mathbf{C}_{x,x} \neq \mathbf{C}_{y,y}$ for all $y \in X$ with $y \neq x$
- for all $\pi \in \mathcal{S}_n$, $\mathbf{C} \preceq \pi(\mathbf{C})$
 - the symmetry breaking constraints

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PARTITIONING THE PROBLEM

Lemma [AMV22]

Let (X, \cdot) be a cycle set of size $n \in \mathbb{N}$.

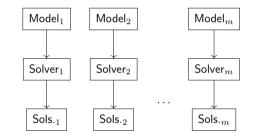
Let $T: X \to X, T(x) \mapsto x \cdot x$ and $T_1 \in \mathcal{S}_n$.

If T and T_1 are conjugates, then there exists a cycle set structure \times on X such that (X, \cdot) and (X, \times) are isomorphic and $T_1(x) = x \times x$ for all $x \in X$.

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PARTITIONING THE PROBLEM

- As done by [AMV22]
- Model cycle set constraints.
- Partition the problem by fixing diagonals.
 - ► This decreases the search space per problem from (n²)ⁿ to (n² − n)^(n−1).
 - This allows to parallelize the search.
- Add static symmetry breaking constraints.



Enumerate all solutions.

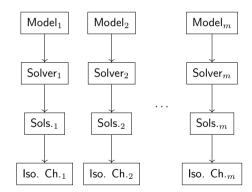
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 - ► This allows to parallelize the search.
- Add static symmetry breaking constraints.
 - Complete symmetry breaking is unrealistic because of its encoding size...
- Enumerate all solutions.
- Perform final isomorphism check.



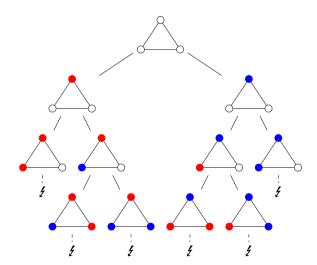
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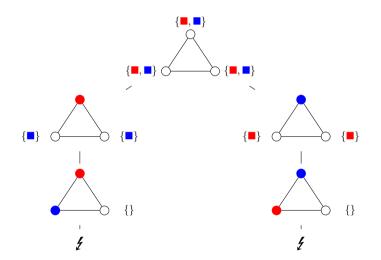
- Example: 2-colouring of a triangle graph
- Variables: $V = \{N_1, N_2, N_3\}$
- Domains: $D = \{D_i = \{\blacksquare, \blacksquare\} \mid i \in \{1, 2, 3\}\}$
- Constraints: $C = \{N_1 \neq N_2, N_2 \neq N_3, N_3 \neq N_1\}$



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- Example: a Boolean satisfaction (SAT) problem
- ▶ Variables: $V = \{a, b, c, d, r, s, w, x, y, z\}$
- Domains: $D = \{D_i = \{0, 1\} \mid i \in V\}$
- $\blacktriangleright \text{ Constraints: } C = \{(r) \land (\neg r \lor s) \land (\neg w \lor a) \land (\neg x \lor b) \land (\neg y \lor \neg z \lor c) \land (\neg b \lor \neg c \lor d) \}$

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$$(r) \land (\neg r \lor s) \land (\neg w \lor a) \land (\neg x \lor b) \land (\neg y \lor \neg z \lor c) \land (\neg b \lor \neg c \lor d)$$

Current assignment
$$\alpha = \{$$
 }

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Current assignment
$$\alpha = \{r = 1\}$$

$$s) \land (\neg w \lor a) \land (\neg x \lor b) \land (\neg y \lor \neg z \lor c) \land (\neg b \lor \neg c \lor d)$$

Current assignment
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$$(\neg w \lor a) \land (\neg x \lor b) \land (\neg y \lor \neg z \lor c) \land (\neg b \lor \neg c \lor d)$$

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SAT-Based Enumeration of Solutions to the YBE

March 19, 2025

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Current assignment $\alpha = \{r = 1, s = 1, a = 1\}$

- Check if the partial solution can be extended to a solution that is lexicographically minimal
- ▶ If this is certainly not the case: force the solver to backtrack!
 - for example by adding a falsified clause: $(\neg a)$

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Main goal:

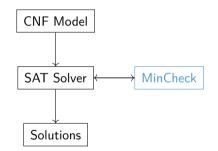
- Enumerate satisfying assignments of CNF up to isomorphism
- First used to enumerate graphs with certain interesting properties

Core idea:

- 1. Model the mathematical problem at hand using propositional logic
- 2. Force a SAT solver to generate only non-isomorphic solutions during the search

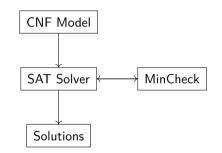
How:

- 1. Obtain a partial interpretation from the SAT solver.
- Check whether the assignment can be extended to a complete assignment that is lexicographically minimal.
- 3. If not, force the solver to abort the current branch of the search tree by learning a new clause.



How:

- 1. Obtain a partial interpretation from the SAT solver.
- Check whether the assignment can be extended to a complete assignment that is lexicographically minimal.
- 3. If not, force the solver to abort the current branch of the search tree by learning a new clause.
- This procedure needs to take into account:
 - the (encoding of) the mathematical problem,
 - i.e., the (encoding of) the cycle set definition.
 - and the structure of the set of isomorphisms.
 - i.e., all permutations that fix the diagonal.



- 1. The Yang-Baxter Equation and Cycle Sets
- 2. Constraint Programming Approach
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- 5. Minimality Check
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FOR CYCLE SETS

- Given a formula ψ over variables Σ (modelling the cycle set definition),
- With a complete, satisfying assignment α of Σ , we associate a cycle set \mathbf{C}^{α} where for all cells $(i, j) \in X \times X$ it holds that:
 - $\blacktriangleright \mathbf{C}_{i,j} = k \text{ iff } v_{i,j,k} \in \alpha.$
- ▶ We now want to introduce symmetry breaking constraints during the solving phase.
- ▶ But, during the solving phase, the full cycle set might not be known yet.
- ► Hence, we introduce partial cycle sets.

Partial Cycle Set

A partial cycle set of size n is a matrix $\mathbf{P} \in (2^X)^{n \times n}$ with $X = \{1, \ldots, n\}$, where each cell $c \in X \times X$ of \mathbf{P} represents a non-empty domain $\mathbf{P}_c \subseteq X$ of values that are still possible.

Partial Cycle Set

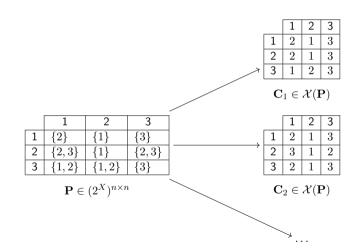
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• With a partial assignment α of Σ , we associate a partial cycle set \mathbf{P}^{α} where for all cells $(x, y) \in X \times X$ it holds that:

$$\blacktriangleright \mathbf{P}_{x,y} = \{ x \in X \mid \neg c_{i,j,x} \notin \alpha \}.$$

ln other words, \mathbf{P}^{α} consist of the values that can still be true according to α .

EXAMPLE



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LEXICOGRAPHIC MINIMALITY [KS21]

- \blacktriangleright A partial cycle set ${\bf P}$ is $\preceq\text{-minimal}$ if it can be extended to a $\preceq\text{-minimal}$ cycle set.
- ▶ If for all extended cycle sets $C \in \mathcal{X}(P)$ there exists an isomorphism π s.t. $\pi(C) \prec C$:
 - ▶ **P** can not be <u>≺</u>-minimal.
 - But: hard to decide this...

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 - ▶ P can not be <u>≺</u>-minimal.
 - But: hard to decide this...
- ▶ If there exists an isomorphism π s.t. $\pi(\mathbf{C}) \prec \mathbf{C}$ for all extended cycle sets $\mathbf{C} \in \mathcal{X}(\mathbf{P})$:
 - ▶ **P** can not be <u>≺</u>-minimal.
 - We call π a witness of non-minimality of **P**!

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FINDING WITNESSES

- In order to find these witnesses, we need:
 - 1. A way to apply permutations to partial cycle sets.
 - 2. An order \lhd over partial cycle sets,
 - ▶ s.t. if $\mathbf{P} \lhd \mathbf{P}'$ then $\mathbf{C} \prec \mathbf{C}'$ for all extensions $\mathbf{C} \in \mathcal{X}(\mathbf{P})$ and $\mathbf{C}' \in \mathcal{X}(\mathbf{P}')$.

- ▶ If we can find a permutation π for which $\pi(\mathbf{P}) \triangleleft \mathbf{P}$, we have that $\pi(\mathbf{C}) \prec \mathbf{C}$ for all extensions $\mathbf{C} \in \mathcal{X}(\mathbf{P})$.
- In other words, we can decide that π is a witness of non-minimality.

• Given a partial cycle set $\mathbf{P} \in (2^X)^{n \times n}$ and a permutation $\pi: X \to X$:

$$\pi(\mathbf{P}_{i,j}) = \{\pi^{-1}(x) \mid x \in \mathbf{P}_{\pi(i),\pi(j)}\}.$$

▶ For example, given \mathbf{P} and $\pi = (12)$:

$$\mathbf{P} = \begin{bmatrix} 1 & 2 & 4 & 3 \\ 1 & 2 & 3 & 4 \\ 1 & \{2,4\} & 3 & \{2,4\} \\ 1 & \{2,3\} & \{2,3\} & 4 \end{bmatrix} \qquad \qquad \mathbf{P}_{\pi(i),(j)} = \begin{bmatrix} 2 & 1 & 3 & 4 \\ 2 & 1 & 4 & 3 \\ \{2,4\} & 1 & 3 & \{2,4\} \\ \{2,3\} & 1 & \{2,3\} & 4 \end{bmatrix}$$

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▶ For example, given \mathbf{P} and $\pi = (12)$:

$$\mathbf{P} = \begin{bmatrix} 1 & \{3,4\} & 2 & \{3,4\} \\ 1 & 2 & 3 & 4 \\ 1 & \{2,4\} & 3 & \{2,4\} \\ 1 & \{2,3\} & \{2,3\} & 4 \end{bmatrix} \qquad \triangleright? \qquad \pi(\mathbf{P}) = \begin{bmatrix} 1 & 2 & 3 & 4 \\ \{3,4\} & 2 & 1 & \{3,4\} \\ \{1,4\} & 2 & 3 & \{1,4\} \\ \{1,3\} & 2 & \{1,3\} & 4 \end{bmatrix}$$

ORDERING PARTIAL CYCLE SETS

$\mathbf{P} \lhd \mathbf{P}'$

Given two partial cycle sets ${\bf P}$ and ${\bf P}'$ we say that ${\bf P} \lhd {\bf P}'$ iff:

- ▶ there is a cell c s.t. $\max \mathbf{P}_c < \min \mathbf{P}'_c$ and
- for all cells c' < c: $\max \mathbf{P}_{c'} \le \min \mathbf{P}'_{c'}$.

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$\mathbf{P} \trianglelefteq \mathbf{P}'$

Given two partial cycle sets ${\bf P}$ and ${\bf P}'$ we say that ${\bf P}\trianglelefteq {\bf P}'$ iff:

• either $\mathbf{P} \triangleleft \mathbf{P}'$, or for all cells c: $\max \mathbf{P}_c \leq \min \mathbf{P}'_c$.

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ORDERING PARTIAL CYCLE SETS

- Using this order we have that:
 - ▶ If $\mathbf{P} \triangleleft \mathbf{P}'$, then for all extended cycle sets $\mathbf{C} \in \mathcal{X}(\mathbf{P})$ and $\mathbf{C}' \in \mathcal{X}(\mathbf{P}')$, it holds that $\mathbf{C} \prec \mathbf{C}'$.
 - ▶ If $\pi(\mathbf{P}) \triangleleft \mathbf{P}$, π is a witness of non-minimality.

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MINIMALITY CHECK

▶ Goal: decide whether $\exists \pi \in \langle \Pi \rangle$, such that $\pi(\mathbf{P}) \lhd \mathbf{P}$, given

- $\blacktriangleright\,$ a matrix ${\bf P}$ representing a partial cycle set, and
- \blacktriangleright where the group $\langle \Pi \rangle$ represents the isomorphisms of the problem.
- Considering each π one-by-one is not a feasible option...

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MINIMALITY CHECK

BACKTRACKING APPROACH [KS21]

- Represent all possible isomorphism of the problem.
 - i.e. $\pi(1) = [1, 2, 3, 4], \pi(2) = [1, 2, 3, 4], \dots$
- Make decision
 - ▶ i.e. $\pi(1) = [2]$
- Propagate:
 - ▶ i.e. $\pi(2) = [1, 3, 4]$
 - Ensure that the partial permutation π can be extended to an isomorphism of the problem.
 - Given the partial cycle set \mathbf{P} , ensure that $\pi(\mathbf{P}) \lhd \mathbf{P}$.
- Repeat until:
 - A witness is found.
 - All possibilities have failed.

BACKTRACKING APPROACH [KS21]

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 - Given the partial cycle set \mathbf{P} , ensure that $\pi(\mathbf{P}) \lhd \mathbf{P}$.
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 - All possibilities have failed.

Issue!

- Sometimes there is no information to propagate
- ► Worst case complexity of *n*!...

ISOMORPHISMS AND FIXED DIAGONALS

- Ensure that the partial permutation π can be extended to an isomorphism of the problem
- The group of isomorphisms $\langle \Pi \rangle$ is given by:
 - If no diagonal is fixed, $\langle \Pi \rangle = \mathcal{S}_n$
 - If a diagonal T is fixed, $\langle \Pi \rangle = C_{\mathcal{S}_n}(T)$

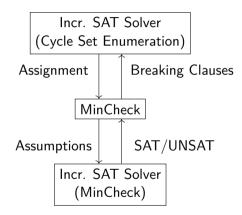
▶ i.e., the isomorphisms are permutations that fix the diagonal

INCREMENTAL, SAT-BASED APPROACH

- Minimality check = combinatorial search problem
 - i.e. given the current (partial) cycle set, does there exist a witness?
- ► We chose to:
 - Express the problem in CNF.
 - Use an incremental SAT-solver to verify whether the CNF is satisfiable given the current assumptions.
 - ▶ If so, we have found a witness of non-minimality for the current cycle set!

INCREMENTAL, SAT-BASED MINIMALITY CHECK

OVERVIEW



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CONSTRUCTING A CLAUSE

- π is a witness of non-minimality!
 - ▶ There exists cell c = (i, j) such that:
 - ▶ for all cells c' < c: $\pi(\mathbf{P})_{c'} \leq \mathbf{P}_{c'}$ and, ▶ $\pi(\mathbf{P})_c < \mathbf{P}_c$.
- So: how do we exclude the current solution (and its extensions?)

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CONSTRUCTING A CLAUSE

- π is a witness of non-minimality!
 - There exists cell c = (i, j) such that:
 - ▶ for all cells c' < c: $\pi(\mathbf{P})_{c'} \trianglelefteq \mathbf{P}_{c'}$ and, ▶ $\pi(\mathbf{P})_c \lhd \mathbf{P}_c$.
- So: how do we exclude the current solution (and its extensions?)

- We add a clause expressing that (at least) one of these conditions is different:
 - $\max \pi(\mathbf{P})_c$ becomes larger than or equal to $\min \mathbf{P}_c$,
 - ▶ or for at least one of the cells c' < c; max π(P)_{c'} becomes strictly larger than min P_{c'},
 - or the solver needs to backtrack.

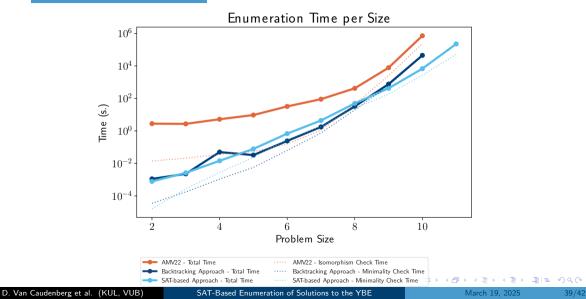
- 1. The Yang-Baxter Equation and Cycle Sets
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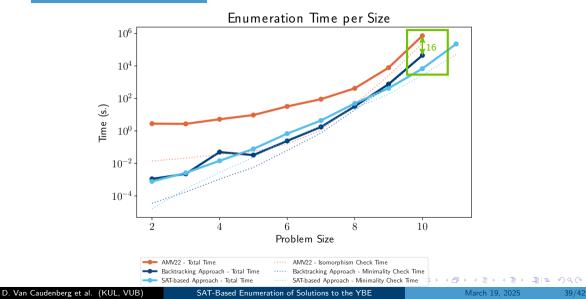
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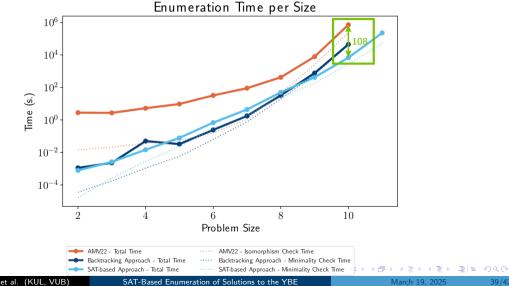
IMPLEMENTATION

▶ We use CaDiCaL [BFFH20] with the IPASIR-UP API [FNP⁺23];

- to keep track of the current assignment,
- to add clauses if a useful permutation is found,
- and to find witnesses.
- The implementation and database are available on GitLab.
- Experiments were performed on a machine with
 - ▶ an AMD(R) Genoa-X CPU,
 - running Rocky Linux 8.9,
 - ▶ with Linux kernel 4.18.0.

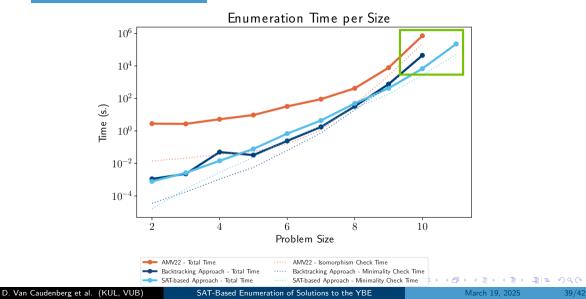






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		AMV22		Backtracking Approach			Incr. SAT Approach		
Size	# Sols	Iso Check (s.)	Total (s.)	MinCheck (s.)	Total (s.)	Speedup	MinCheck (s.)	Total (s.)	Speedup
2	2	0.0	2.8	0.0	0.0		0.0	0.0	
3	5	0.0	2.7	0.0	0.0		0.0	0.0	
4	23	0.0	5.2	0.0	0.0		0.0	0.0	
5	88	0.0	9.5	0.0	0.0		0.0	0.0	
6	595	0.2	32.2	0.1	0.2	161.0	0.3	0.7	46.0
7	3 456	1.1	89.8	0.7	1.8	49.9	1.9	4.4	20.4
8	34 530	43.1	419.3	19.3	32.6	12.9	24.6	49.7	8.4
9	321 931	2542.3	7797.7	621.6	760.5	10.2	185.6	421.2	18.51
10	4 895 272	237 307.1	720883.0	41 594.1	44792.5	16.1	2706.3	6796.8	108.1
11	77 182 093						50767.2	226 395.6	

Table: Comparing the runtimes of the implementation of AMV22 and our approaches building on SAT Modulo Symmetries.

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Refining incremental approach

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FUTURE WORK

- Refining incremental approach
- Certifying the results
 - We obtain the same results as [AMV22], but that only means that we are either both correct or both wrong.
 - However, how do we verify this?

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- Enumerating related structures
 - Racks,
 - used to enumerate skew cycle sets.
 - Skew Cycle Sets,
 - correspond to non-degenerate set-theoretic solutions.
 - Biquandles,
 - applications in knot theory.

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 - Biquandles,
 - applications in knot theory.
- Generelizing the approach?



- ▶ We have reproduced the results from [AMV22] with a significant speedup
- ► We have expanded these results to include size 11
- We did this by extending the SMS-framework [KS21] to reason about (partially constructed) cycle sets
- ► The current technique can be adapted to enumerate related mathematical structures

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YANG-BAXTER EQUATION



Yang-Baxter Equation [Yan67, Bax72]

A solution to the Yang-Baxter equation (YBE) is a pair (V, R), where V is a vector space and $R: V \otimes V \to V \otimes V$ is a map such that in $(V \otimes V \otimes V)$,

 $R_1 R_2 R_1 = R_2 R_1 R_2,$

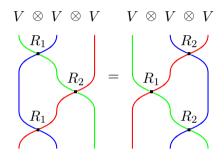
where R_i acts as R on components i and i + 1, and as the identity on the other component.

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Extra Slides

YANG-BAXTER EQUATION

DEFINITION



 $R_1 R_2 R_1 = R_2 R_1 R_2$

Figure: A visual representation of the Yang-Baxter equation.

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YANG-BAXTER EQUATION

DEFINITION

Set-Theoretic Yang-Baxter Equation (YBE) [Dri92]

A set-theoretic solution to the YBE is a pair (X, r), where X is a non-empty set and $r: X^2 \to X^2$ is a map such that in X^3 ,

 $r_1r_2r_1 = r_2r_1r_2$, (the Yang-Baxter Equation)

where r_i acts as r on components i and i + 1 and as the identity on the other component.

- ▶ These solutions are a subset of the solutions to the *original* Yang-Baxter equation.
- Given a set-theoretic solution (X, r), we can construct a solution to the *original* YBE through linearisation.
- A set-theoretic solution is called involutive if $r^2 = id_{X \times X}$.

EXAMPLE

- (X,r) with
 - $X = \mathbb{Z}/n\mathbb{Z}$ r(x,y) = (y+1,x-1)
- ► Finite:
 - the set X is finite

EXAMPLE

- (X, r) with • $X = \mathbb{Z}/n\mathbb{Z}$ • r(x, y) = (y + 1, x - 1)• Finite
- Involutive:

▶
$$r^2(x, y) = (x, y)$$

▶ $r(r(x, y)) = r(y + 1, x - 1) = ((x - 1) + 1, (y + 1) - 1) = (x, y)$

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EXAMPLE

- \blacktriangleright (X, r) with
 - $X = \mathbb{Z}/n\mathbb{Z}$ r(x,y) = (y+1, x-1)
- Finite
- Involutive
- ► Non-degenerate:

• Given
$$r(x,y) = (\sigma_x(y), \tau_y(x))$$
, the maps σ_x, τ_x are bijective for all $x \in X$

• $\sigma(y) = y + 1$ and $\tau(x) = x - 1$ are bijective

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EXAMPLE

$$(X, r) \text{ with}
 X = \mathbb{Z}/n\mathbb{Z}
 r(x, y) = (y + 1, x - 1)$$

Finite

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- Involutive
- Non-degenerate

• (X, s) with • $X = \mathbb{Z}/n\mathbb{Z}$ • s(x, y) = (y - 1, x + 1)

Finite

Involutive:

► s(s(x,y)) = s(y-1,x+1) =((x+1)-1,(y-1)+1) = (x,y)

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EXAMPLE

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Extra Slides

SET-THEORETIC YANG-BAXTER EQUATION

EXAMPLE

•
$$(X, r)$$
 with
• $X = \mathbb{Z}/n\mathbb{Z}$
• $r(x, y) = (y + 1, x - 1)$

- The solutions (X, r) and (X, s) are isomorphic
 - i.e. there exists a bijection $f: X \to X$ such that $(f \times f)r = s(f \times f)$
- The isomorphism is defined by $f: X \to X, x \mapsto n x$

(X, s) with $X = \mathbb{Z}/n\mathbb{Z}$ s(x, y) = (y - 1, x + 1)

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- ▶ for each $i, j, x \in X$, the Boolean variable $v_{i,j,x}$ is true iff $C_{i,j} = x$
- Ensure that each matrix entry is assigned exactly one value;
 - ▶ for each $i, j \in X$: exactlyOne $([v_{i,j,k} | k \in X])$



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ISOMORPHISMS AND FIXED DIAGONALS

- Ensure that the partial permutation π can be extended to an isomorphism of the problem
- The group of isomorphisms $\langle \Pi \rangle$ is given by:
 - If no diagonal is fixed, $\langle \Pi \rangle = \mathcal{S}_n$
 - If a diagonal T is fixed, $\langle \Pi \rangle = C_{\mathcal{S}_n}(T)$

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► We want to enumerate cycle sets of size 10 (i.e. X = {1,2,...,10}) with fixed diagonal T = [2,3,1,5,6,4,8,7,10,9]

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- To determine $\langle \Pi \rangle$ we rewrite T as a permutation:
 - T = (123)(456)(78)(9a) where a = 10
 - Note that (123) = (231) = (321)

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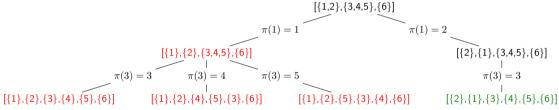
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- ▶ For a permutation to fix *T*, it needs to:
 - map cycles to cycles of the same length,
 i.e. π(1) ∈ {1,2,3,4,5,6}
 - while maintaining the order between the elements of the cycle
 - i.e. if $\pi(1) = 5$, it should follow that $\pi(2) = 6$ and $\pi(3) = 4$





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MINIMALITY CHECK

CONSTRUCTING A CLAUSE, EXAMPLE

$$\bigvee_{x \ge \min \mathbf{P}_c} v_{\pi(c),x} \lor \bigvee_{x \le \max \pi(\mathbf{P})_c} v_{c,x} \lor$$
$$\bigvee_{c' < c} \left(\bigvee_{x > \min \mathbf{P}_{c'}} v_{\pi(c'),x} \lor \bigvee_{x < \max \pi(\mathbf{P})_{c'}} v_{c',x} \right)$$

 $\begin{array}{c} v_{2,5,6} \lor v_{1,5,5} \lor v_{1,5,4} \lor v_{1,5,3} \lor v_{1,5,2} \lor v_{1,5,1} \lor \\ v_{2,2,3} \lor v_{2,2,4} \lor v_{2,2,5} \lor v_{2,2,6} \lor v_{1,1,1} \lor \\ v_{2,1,2} \lor v_{2,1,3} \lor v_{2,1,4} \lor v_{2,1,5} \lor v_{2,1,6} \lor \\ v_{2,3,4} \lor v_{2,3,5} \lor v_{2,3,6} \lor v_{1,3,2} \lor v_{1,3,1} \lor \\ v_{2,4,5} \lor v_{2,4,6} \lor v_{1,4,3} \lor v_{1,4,2} \lor v_{1,4,1} \end{array}$

	2	1	3	4	6	5	
	2	1	3	4	5	6	
Р_	1	2	4	*	*	*	
$\mathbf{r} =$	*	*	*	5	*	*	
	*	*	*	*	3	*	
	*	*	*	*	*	6	
	2	1	3	4	5	6]	
	$\begin{bmatrix} 2\\ 2 \end{bmatrix}$	1 1	$\frac{3}{3}$	$\frac{4}{4}$	$5 \\ 6$	$\begin{bmatrix} 6 \\ 5 \end{bmatrix}$	
$\pi(\mathbf{D}) =$	$\begin{bmatrix} 2\\ 2\\ 1 \end{bmatrix}$						
$\pi(\mathbf{P}) =$		1	3	4	6	5	
$\pi(\mathbf{P}) =$	1	$1 \\ 2$	3 4	4 *	6 *	$5 \\ *$	

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MINIMALITY CHECK

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	2	1	3	4	6	5	
	2	1	3	4	5	6	
Р —	1	2	4	*	*	*	
г =	*	*	*	5	*	*	
	*	*	*	*	3	*	
	*	*	*	*	*	6	
	2	1	3	4	5	6]	
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MINIMALITY CHECK

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	2	1	3	4	6	5	
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Р_	1	2	4	*	*	*	
F =	*	*	*	5	*	*	
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	2	1	3	4	6	5]	
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Р —	1	2	4	*	*	*	
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	*	*	*	*	3	*	
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FUTURE WORK

THE ENUMERATION OF RELATED STRUCTURES

- We have now enumerated finite, involutive, non-degenerate set-theoretic solutions to the YBE
- What about related structures?
- Only minimal adjustments are needed to enumerate:
 - Racks,
 - used to enumerate skew cycle sets
 - Skew Cycle Sets,
 - correspond to finite, non-degenerate set-theoretic solutions
 - Biquandles,

...

finite, non-degenerate, involutive, set-theoretic solutions

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FUTURE WORK

CERTIFYING THE RESULS

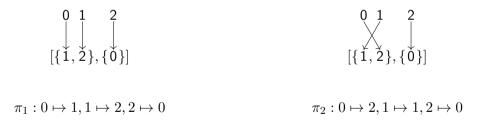
- How do we know whether these results are correct?
 - We obtain the same results as [AMV22], but that only means that we are either both correct or both wrong.
- Many SAT Solvers are verifiable
 - They produce a solution and a machine-verifiable proof for this solution
 - This proof is then verified together with the CNF formula
- This is also the case for CaDiCaL, even with the SMS framework [KSS22]
 - However: only verified if each clause is added with a good reason

- So, how do we know whether the added breaking clauses were correct?
 - VeriPB can verify static symmetry breaking [BGMN22]
 - CaDiCaL comes with VeriPB
- How do we verify whether we have enumerated exactly one solution per isomorphism class?
 - Non-trivial, we need information about the problem...
 - The symmetries of the CNF might not be equivalent to the isomorphisms of the problem...

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MINIMALITY CHECK ORDERED PARTITIONS

▶ An ordered partition $(X_1, X_2, ..., X_r)$ represents all permutations s.t.: ▶ $\pi^{-1}(x_1) < \pi^{-1}(x_2)$ for all $x_1 \in X_i, x_2 \in X_j$ with i < j.



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ITERATIVE REFINEMENT OF ORDERED PARTITION

- Start with ordered partition based on the isomorphisms of the problem.
- Make decision (i.e. split partition into a singleton and the rest).
- Propagate:
 - Ensure that the partial permutation π can be extended to an isomorphism of the problem.
 - Given the partial cycle set \mathbf{P} , ensure that $\pi(\mathbf{P}) \trianglelefteq \mathbf{P}$.
- ► Repeat until:
 - A witness or refining subset is found.
 - All possibilities have failed.

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EXAMPLE

$$\mathbf{P} = \begin{bmatrix} 2 & 1 & 3 & 4 & 6 & 5 \\ 2 & 1 & 3 & 4 & 5 & 6 \\ 1 & 2 & 4 & \{5,6\} & \{3,5,6\} & \{3,5,6\} \\ \{2,3,4,6\} & \{2,3,4,6\} & \{1,2,3,4,6\} & 5 & \{1,2,3,4,6\} \\ \{4,5,6\} & \{1,2,4,5,6\} & \{1,2,4,5,6\} & \{1,2,3,4,5\} & 3 & \{1,2,4,5,6\} \\ \{3,4,5\} & \{2,3,4,5\} & \{1,2,3,4,5\} & \{1,2,3,4,5\} & \{1,2,3,4,5\} & \{1,2,3,4,5\} & 6 \end{bmatrix}$$

• Diagonal T = (12)(345)(6) is fixed.

• If a diagonal T is fixed, $\langle \Pi \rangle = C_{\mathcal{S}_n}(T)$.

• Initial permutation: $\pi = [\{1, 2\}, \{3, 4, 5\}, \{6\}].$

> Does there exist an extension of π that can be used to exclude or refine **P**?

ELE DOG

MINIMALITY CHECK EXAMPLE

 $[\{1,2\},\{3,4,5\},\{6\}]$

	2	1	3	4	6	5]
	$\begin{vmatrix} \mathbb{Z} \\ 2 \\ 1 \end{vmatrix}$	$rac{1}{2}$	3	4	5	6
Р_	1	2	4	*	*	*
F =	*		*	5	*	*
	*	*	*	*	3	*
	*	*	*	*	*	6
	2	*	*	*	*	*]
	*	* 1 *	*	*	*	*
$\pi(\mathbf{D}) =$	*	*	4	*	*	*
$\pi(\mathbf{P}) =$	*	*	*	5	*	*
	*	*	*	*	3	*
	*	*	*	*	*	6

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	2	1	3	4	6	5
	$\left \begin{array}{c} 2 \\ 2 \end{array} \right $	1	3	4	5	6
Р_	1	2	4	*	*	*
F =	*	*	*	5	*	*
	*	*	*	*	3	*
	*	*	*	*	*	6
	2	1	*	*	*	*]
	2	1	*	*	*	*
$\pi(\mathbf{P}) =$	$\begin{vmatrix} 2 \\ * \end{vmatrix}$	1 *	*	* *	* *	*
$\pi(\mathbf{P}) =$						
$\pi(\mathbf{P}) =$	*	*	4	*	*	*

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	2	1	3	4	6	5
	2	1	3	4	5	6
Р_	1	2	4	*	*	*
г =	*	*	*	5	*	*
	*	*	*	*	3	*
	*	*	*	*	*	6
	2	1	3	*	*	*]
	$\begin{bmatrix} 2\\ 2 \end{bmatrix}$	1 1	$\frac{3}{3}$	* *	* *	* *
$\pi(\mathbf{D}) =$	$\begin{bmatrix} 2\\ 2\\ * \end{bmatrix}$					
$\pi(\mathbf{P}) =$	1	1	3	*	*	*
$\pi(\mathbf{P}) =$	*	1 *	3 4	* *	* *	*

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EXAMPLE

$[\{1,2\},\{3,4,5\},\{6\}]$
Decide $1 \mapsto 1$
$[\{1\},\{2\},\{3,4,5\},\{6\}]$
$\int Decide \ 3 \mapsto 4$
$[\{1\},\{2\},\{4\},\{5,3\},\{6\}]$
Propagate
$\{1\},\{2\},\{4\},\{5\},\{3\},\{6\}]$

	2	1	3	4	6	5]
	2	1	3	4	5	6
Р_	1	2	4	*	*	*
г =	*	*	*	5	*	*
	*	*	*	*	3	*
	*	*	*	*	*	6
	2	1	3	6	5	47
	$\begin{bmatrix} 2\\ 2 \end{bmatrix}$	1 1	$\frac{3}{3}$	$\frac{6}{4}$	$5\\5$	$\begin{bmatrix} 4 \\ 6 \end{bmatrix}$
$\pi(\mathbf{D}) =$	$\begin{bmatrix} 2\\ 2\\ * \end{bmatrix}$					
$\pi(\mathbf{P}) =$		1	3	4	5	6
$\pi(\mathbf{P}) =$	*	1 *	3 4	4 *	$5 \\ *$	6 *

EXAMPLE

```
[\{1,2\},\{3,4,5\},\{6\}]
                  Decide 1 \mapsto 1
  [\{1\}, \{2\}, \{3, 4, 5\}, \{6\}]
                  Decide 3 \mapsto 4
[\{1\}, \{2\}, \{4\}, \{5, 3\}, \{6\}]
                  Propagate
[\{1\}, \{2\}, \{4\}, \{5\}, \{3\}, \{6\}]
```

	2	1	3	4	6	5	
	2	1	3	4	5	6	
D _	1	2	4	*	*	*	
$\mathbf{r} =$	*	*	*	5	*	*	
	*	*	*	*	3	*	
	*	*	*	*	*	6	
	2	1	3	6	5	47	
	$\begin{bmatrix} 2\\ 2 \end{bmatrix}$	1 1	$\frac{3}{3}$	<mark>6</mark> 4	$5\\5$	$\begin{bmatrix} 4 \\ 6 \end{bmatrix}$	
$\sigma(\mathbf{D}) =$	2 2 *						
$\pi(\mathbf{P}) =$	1	1	3	4	5	6	
$\pi(\mathbf{P}) =$	*	1 *	3 4	4 *	$5 \\ *$	6 *	

	2	1	3	4	6	5	
	$\begin{vmatrix} 2\\2\\1 \end{vmatrix}$	$egin{array}{c} 1 \\ 1 \\ 2 \end{array}$	3	4	5	6	
Р_	1	2	4	*	*	*	
F =	*	*	*	5	*	*	
	*	*	*	*	3	*	
	*	*	*	*	*	6	
	-					_	
	2	*	*	*	*	*	
	2	*	*	*	*	*	
$\pi(\mathbf{D}) =$	2 * *	* 1 *					
$\pi(\mathbf{P}) =$	1	* 1 *	*	*	*	*	
$\pi(\mathbf{P}) =$	*	1 *	* 4	*	* *	*	

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212 DQC

D _	2	1	3	4	6	5]
	$\begin{vmatrix} 2\\2\\1 \end{vmatrix}$	1	3	4	5	6
	1	$rac{1}{2}$	4	*	*	*
г =	*	*	*	5	*	*
	*	*	*	*	3	*
	*	*	*	*	*	6
	2	*	*	*	*	*]
	2 *	*	* *	* *	* *	* *
$\pi(\mathbf{P}) =$	2 * *	* 1 *				
$\pi(\mathbf{P}) =$		1	*	*	*	*
$\pi(\mathbf{P}) =$	*	1 *	* 4	* *	* *	*

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MINIMALITY CHECK EXAMPLE

 $[\{1,2\},\{3,4,5\},\{6\}]$

	2	1	3	4	6	5]
P _	$\begin{vmatrix} \mathbb{Z} \\ 2 \\ 1 \end{vmatrix}$	$rac{1}{2}$	3	4	5	6
	1	2	4	*	*	*
г –	*	*	*	5	*	*
	*	*	*	*	3	*
	*	*	*	*	*	6
	2	*	*	*	*	*]
	*	* 1 *	*	*	*	*
$\pi(\mathbf{D}) =$	*	*	4	*	*	*
$\pi(\mathbf{P}) =$	*	*	*	5	*	*
	*	*	*	*	3	*
	*	*	*	*	*	6

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$\mathbf{P} =$	2	1	3	4	6	5
	2	1	3	4	5	6
	1	2	4	*	*	*
	*	*	*	5	*	*
	*	*	*	*	3	*
	*	*	*	*	*	6
	2	1	*	*	*	*
	$\begin{bmatrix} 2\\ 2 \end{bmatrix}$	1 1	*	* *	* *	* *
$\pi(\mathbf{P}) =$	$\begin{bmatrix} 2\\ 2\\ * \end{bmatrix}$					
$\pi(\mathbf{P}) =$	1	1	*	*	*	*
$\pi(\mathbf{P}) =$	*	1 *	* 4	* *	* *	*

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EXAMPLE

P _	2	1	3	4	6	5	
	2	1	3	4	5	6	
	1	2	4	*	*	*	
$\mathbf{r} =$	*	*	*	5	*	*	
	*	*	*	*	3	*	
	*	*	*	*	*	6	
	2	1	3	4	5	6]	
	$\begin{bmatrix} 2\\ 2 \end{bmatrix}$	1 1	$\frac{3}{3}$	$\frac{4}{4}$	$5 \\ 6$	$\begin{bmatrix} 6 \\ 5 \end{bmatrix}$	
- (D)	$\begin{bmatrix} 2\\ 2\\ 1 \end{bmatrix}$						
$\pi(\mathbf{P}) =$		1	3	4	6	5	
$\pi(\mathbf{P}) =$	1	12	3 4	4 *	6 *	5 *	