# Radical rings, braces and the Yang–Baxter equation

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# Problem (Drinfeld)

Study set-theoretic solutions (to the YBE).

A set-theoretic solution (to the YBE) is a pair (X, r), where X is a set and  $r: X \times X \to X \times X$  is a bijective map such that

$$(r \times id)(id \times r)(r \times id) = (id \times r)(r \times id)(id \times r).$$

**First works:** Gateva–Ivanova and Van den Bergh; Etingof, Schedler and Soloviev; Gateva–Ivanova and Majid.

#### Examples:

- The flip: r(x, y) = (y, x).
- Let X be a set and  $\sigma, \tau \colon X \to X$  be bijections such that  $\sigma \tau = \tau \sigma$ . Then

$$r(x,y) = (\sigma(y), \tau(x))$$

is a solution.

• Let 
$$X = \mathbb{Z}/n$$
. Then

$$r(x,y)=(2x-y,x) \quad \text{and} \quad r(x,y)=(y-1,x+1)$$

are solutions.

# More examples:

If  $\boldsymbol{X}$  is a group, then

$$r(x,y) = (xyx^{-1},x)$$
 and  $r(x,y) = (xy^{-1}x^{-1},xy^2)$ 

are solutions.

# Problem

Construct (finite) set-theoretical solutions.

We deal with non-degenerate solutions, i.e. solutions

$$r(x,y) = (\sigma_x(y), \tau_y(x)),$$

where all maps  $\sigma_x \colon X \to X$  and  $\tau_x \colon X \to X$  are bijective.

If R is a ring, the operation

$$x \circ y = x + xy + y$$

is always associative with neutral element 0. We say that R is a radical ring if  $(R, \circ)$  is a group.

Example of a radical ring:

$$R = \left\{ \frac{2x}{2y+1} : x, y \in \mathbb{Z} \right\}.$$

# Theorem (Rump)

Let A be a radical ring. Then  $r \colon A \times A \to A \times A$ ,

$$r(a,b) = (-a + a \circ b, (-a + a \circ b)' \circ a \circ b)$$

is a non-degenerate solution such that  $r^2 = id_{A \times A}$ .

Here z' denotes the inverse of the element z with respect to the circle operation.

Do we need radical rings to produce set-theoretic solutions similar to those of Rump?

# Definition:

A skew brace is a triple  $(A,+,\circ),$  where (A,+) and  $(A,\circ)$  are groups such that

$$a \circ (b+c) = a \circ b - a + a \circ c$$

holds for all  $a, b, c \in A$ .

#### Remarks:

- This definition is motivated by the work on Cedó, Jespers and Okniński.
- 2. The map  $\lambda \colon (A, \circ) \to \operatorname{Aut}(A, +)$ ,  $a \mapsto \lambda_a$ ,  $\lambda_a(b) = -a + a \circ b$ , is a group homomorphism.

#### Examples:

# Radical rings.

- ► Trivial skew braces: Any additive group G with g ∘ h = g + h for all g, h ∈ A.
- ► An additive exactly factorizable group G (i.e. G = A + B for disjoint subgroups A and B) is a skew brace with

$$g \circ h = a + h + b,$$

where g = a + b,  $a \in A$  and  $b \in B$ .

Skew braces produce solutions:

# Theorem (with Guarnieri)

Let A be a skew brace. Then  $r_A \colon A \times A \to A \times A$ ,

$$r_A(a,b) = (-a + a \circ b, (-a + a \circ b)' \circ a \circ b)$$

is a non-degenerate solution. Moreover,

$$r_A^2 = \mathrm{id}_{A \times A} \iff (A, +)$$
 is abelian.

Skew braces classify solutions. We need the structure group of the solution (first considered by Etingof, Schedler and Soloviev):

$$G(X,r) = \langle X : x \circ y = u \circ v \text{ whenever } r(x,y) = (u,v) \rangle.$$

#### Theorem (with Smoktunowicz)

Let (X,r) be a non-degenerate solution. Then there exists a unique skew brace structure over G(X,r) such that its associated solution  $r_{G(X,r)}$  satisfies

$$r_{G(X,r)}(\iota \times \iota) = (\iota \times \iota)r,$$

where  $\iota: X \to G(X, r)$  is the canonical map.

Skew braces have a universal property:

Theorem (with Smoktunowicz)

Let (X,r) be a non-degenerate solution. If B is a skew brace and  $f\colon X\to B$  is a map such that

$$(f \times f)r = r_B(f \times f),$$

then there exists a unique homomorphism  $\varphi \colon G(X,r) \to B$  of skew braces such that

$$\varphi \iota = f$$
 and  $(\varphi \times \varphi) r_{G(X,r)} = r_B(\varphi \times \varphi).$ 

These results are based on similar results by Etingof, Schedler and Soloviev, Rump, and Lu, Yan and Zhu.

#### Fact:

Skew braces are in correspondence with regular subgroups.

Let A be an additive group. The holomorph of A is the semidirect product  $Hol(A) = A \rtimes Aut(A)$ , with operation

$$(a, f)(b, g) = (a + f(b), fg).$$

A subgroup G of  $\operatorname{Hol}(A)$  acts on A via

$$(x,f) \cdot a = a + f(x).$$

Then G is regular if for any  $a, b \in A$  there exists a unique element  $(x, f) \in G$  such that  $(x, f) \cdot a = b$ .

#### Some facts:

- 1. If A is a group and G is a regular subgroup of Hol(A), then the map  $\pi: G \to A$ ,  $(x, f) \mapsto x$ , is bijective.
- 2. If A is a skew brace, then  $\{(a, \lambda_a) : a \in A\}$  is a regular subgroup of Hol(A).
- 3. If A is an additive group and G is a regular subgroup of Hol(A), then A is a skew brace with

$$a \circ b = a + f(b),$$

where 
$$(\pi|_G)^{-1}(a) = (a, f) \in G$$
.

These results are heavily based on ideas of Caranti, Childs and Featherstonhaugh, Catino and Rizzo and Bachiller.

#### Some remarks:

- These facts were used in collaboration with Guarnieri to construct a huge database of finite skew braces.
- Bardakov, Neshchadim and Yadav improved the algorithm and extended the database.
- The connection between skew braces and regular subgroups of the holomorph yields a connection between skew braces and Hopf–Galois extensions.

Let  $\mathcal{X}$  be a property of groups. A skew brace A will be of  $\mathcal{X}$ -type if the additive group (A, +) belongs to  $\mathcal{X}$ .

#### Examples:

- Skew braces of abelian type are those skew braces where the additive group is abelian.
- Skew braces of nilpotent type are those skew braces where the additive group is nilpotent.

Some problems on skew braces.

Our first problem appeared in one of Byott's papers on Hopf–Galois extensions. See also Problem 19.91 of *The Kourovka Notebook, by Khukhro and Mazurov*.

# Open problem (Byott)

Let A be a finite skew brace such that (A,+) is solvable. Is  $(A,\circ)$  solvable?

There has been considerable interest among researchers on skew braces on the question, which pairs (K, G) of finite groups can be the additive and respectively the multiplicative group of a skew brace?

#### Facts:

- ► Etingof, Schedler and Soloviev proved that if A is a finite brace of abelian type, then (A, ○) is solvable.
- ► The same technique proves that if A is a finite skew brace of nilpotent type, then (A, ◦) is solvable.

# Theorem (Tsang and Qin)

Let A be a finite skew brace. If  $(A,\circ)$  is nilpotent, then (A,+) is solvable.

Proof: Let K = (A, +) and  $G = (A, \circ)$ . The map

$$f: G \to K \rtimes \lambda(G), \quad g \mapsto (g, \lambda_g)$$

is a group homomorphism. Since G is nilpotent,  $\lambda(G)$  and f(G) are nilpotent. Thus  $K \rtimes \lambda(G) = f(G)\lambda(G)$  is a finite group that is a product of two nilpotent groups. By Kegel-Wielandt theorem,  $K \rtimes \lambda(G)$  is solvable. This implies that K is solvable.

With the same technique one can prove the following result:

#### Theorem

Let A be a finite skew brace.

- If  $(A, \circ)$  is abelian, then (A, +) is meta-abelian.
- If  $(A, \circ)$  is cyclic, then (A, +) is supersolvable.

A finite group G is IYB if it is isomorphic to the multiplicative group of a skew brace of abelian type. By the result of Etingof, Schedler and Soloviev, IYB-groups are solvable.

Open problem

Which finite solvable groups are IYB-groups?

Following ideas of Rump, Bachiller proved that not all solvable groups are IYB.

Open problem

Which is the minimal size of an example of a solvable group that is not IYB?

A somewhat related problem is the following:

Open problem (Cedó, Jespers and Okniński)

Is every nilpotent group of class two the multiplicative group of a skew brace of abelian type?

It could be also interesting to find a nilpotent group of class two that is not the multiplicative group of a radical ring.

In the same vein:

Open problem (Rump)

Is there an example of a non-IYB-group where all Sylow subgroups are IYB?

Recall that radical rings are examples of skew braces!

This means that one can use method from ring theory and group theory to study solutions!

Let us consider non-degenerate involutive solutions. If  $r^2=\mathrm{id}_{X\times X}$  , then

$$x = \sigma_{\sigma_x(y)}(\tau_y(x)), \qquad \qquad y = \tau_{\tau_y(x)}(\sigma_x(y)).$$

Facts:

• The map  $T: X \to X$ ,  $x \mapsto \tau_x^{-1}(x)$ , is bijective.

• 
$$T\sigma_x T^{-1} = \tau_x^{-1}$$
 for all  $x \in X$ 

▶ The groups  $\langle \sigma_x : x \in X \rangle$  and  $\langle \tau_x : x \in X \rangle$  are isomorphic as permutation groups on X.

#### Important fact:

Let (X, r) be a non-degenerate involutive solution,

$$r(x,y) = (\sigma_x(y), \tau_y(x)).$$

For  $x, y \in X$  we define

$$x \sim y \iff \sigma_x = \sigma_y.$$

This equivalence relation induces a solution on  $X/\sim$ ,

$$\operatorname{Ret}(X,r) = (X/\sim, \overline{r}),$$

the retraction of X.

The solution (X, r) is retractable if there exist  $x, y \in X$  with  $x \neq y$  such that  $\sigma_x = \sigma_y$  and it is multipermutation if there exist  $n \ge 1$  such that  $|\operatorname{Ret}^n(X, r)| = 1$ .

The number of (not multipermutation) involutive solutions.

n	4	5	6	7	8	9	10
sols	23	88	595	3456	34530	321931	4895272
not MP	2	4	41	161	2375	16015	28832

Solutions of size 9 and 10 were computed with Akgün and Mereb using contraint programming techniques.

# Open problem

How many involutive solutions of size 11 are there?

# Example: Let $X=\{1,2,3,4\}$ and $r(x,y)=(\sigma_x(y),\tau_y(x)),$

where

$$\sigma_1 = \sigma_2 = \tau_1 = \tau_2 = \text{id}, \quad \sigma_3 = \tau_3 = (34), \quad \sigma_4 = \tau_4 = (12)(34).$$

Then  $\operatorname{Ret}(X, r)$  is the solution over  $\{1, 2, 3\}$  given by

$$\sigma_1 = \tau_1 = \mathrm{id}, \quad \sigma_2 = \sigma_3 = \tau_2 = \tau_3 = (23).$$

Since  $\operatorname{Ret}^2(X, r)$  is then the flip over  $\{1, 2\}$ , it follows that  $\operatorname{Ret}^3(X, r)$  has only one element.

Are there easy ways of detecting multipermutation solutions? Yes! There are results related to the permutation group

$$\mathcal{G}(X,r) = \langle \sigma_x : x \in X \rangle$$

of the solution.

#### Facts

Let (X, r) non-degenerate, finite and involutive.

- 1. If  $\mathcal{G}(X,r)$  is cyclic, then (X,r) is multipermutation.
- 2. If  $\mathcal{G}(X,r)$  is abelian, then (X,r) is multipermutation.
- 3. If  $\mathcal{G}(X,r)$  has abelian Sylow subgroups and has the Sylow tower property, then (X,r) is multipermutation.

(1) was proved by Rump; (2) was proved by Cedó, Jespers and Okniński and independently by Cameron and Gateva–Ivanova; (3) was proved by Ballester–Bolinches, Meng and Romero.

With Bachiller and Cedó we found a characterization of multipermutation solutions in terms of left orderability of groups.

A group G is said to be left orderable if < is a total ordering on G such that the following holds:

$$x < y \implies zx < zy$$

for all  $x, y, z \in G$ .

#### Examples:

Torsion-free abelian groups, free groups, braid groups.

# Theorem (with Bachiller and Cedó)

Let (X,r) be a non-degenerate finite involutive solution. Then (X,r) is multipermutation if and only if the group G(X,r) is left orderable.

The implication  $\implies$  was proved by Jespers and Okniński and independently by Chouraqui.

# Theorem (with Lebed)

A finite involutive non-degenerate solution (X, r) is multipermutation if and only if G(X, r) is diffuse.

This result implies the following:

Corollary (with Acri and Lutowski)

Let (X, r) be a finite non-degenerate involutive solution. If all Sylow subgroups of  $\mathcal{G}(X, r)$  are cyclic, then (X, r) is multipermutation.

Diffuse groups appear in connection with the following well-known open problem:

### Kaplansky problem

Let G be a torsion-free group. Does the group algebra  $\mathbb{C}[G]$  have only trivial units?

Recall that a trivial unit of  $\mathbb{C}[G]$  is an element of the form  $\lambda g$ , where  $\lambda \in \mathbb{C} \setminus \{0\}$  and  $g \in G$ .

Kaplansky's question has an affirmative answer if G is abelian.

Kaplansky's question has an affirmative answer if G admits a left ordering.

Kaplansky's question has an affirmative solution if G has the unique product property.

A group G has the unique product property if for all finite nonempty subsets A and B of G there exists  $x \in G$  that can be written uniquely as x = ab with  $a \in A$  and  $b \in B$ .

Diffuse groups have the unique product property. Nobody knows whether these two notions are equivalent.

When G(X, r) has the unique product property?

**Example (Jespers and Okniński)** Let  $X = \{1, 2, 3, 4\}$  and  $r(x, y) = (\sigma_x(y), \tau_y(x))$  be the irretractable solution given by

$$\sigma_1 = (12), \quad \sigma_2 = (1324), \quad \sigma_3 = (34), \quad \sigma_4 = (1423),$$
  
 $\tau_1 = (14), \quad \tau_2 = (1243), \quad \tau_3 = (23), \quad \tau_4 = (1342).$ 

The group G(X, r) with generators  $x_1, x_2, x_3, x_4$  and relations

$$x_1^2 = x_2 x_4,$$
  $x_1 x_3 = x_3 x_1,$   $x_1 x_4 = x_4 x_3,$   
 $x_2 x_1 = x_3 x_2,$   $x_2^2 = x_4^2,$   $x_3^2 = x_4 x_2,$ 

does not have the unique product property.

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Let 
$$x = x_1 x_2^{-1}$$
 and  $y = x_1 x_3^{-1}$  and  

$$S = \{x^2 y, y^2 x, xyx^{-1}, (y^2 x)^{-1}, (xy)^{-2}, y, (xy)^2 x, (xy)^2, (xyx)^{-1}, yxy, y^{-1}, x, xyx, x^{-1}\}.$$

To prove that G(X, r) does not have the unique product property it is enough to prove that each  $s \in S^2 = \{s_1s_2 : s_1, s_2 \in S\}$  admits at least two different decompositions of the form s = ab = uv for  $a, b, u, v \in S$ .

This set S is taken from the work of Promislow.

Our G(X, r) is a finitely presented group. How can we do all these calculations?

We use a faithful linear representation of G(X, r):

$x_1 \mapsto \begin{pmatrix} 0 & 1 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix},$	$x_2 \mapsto \begin{pmatrix} 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix},$
$x_3 \mapsto \begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix},$	$x_4 \mapsto \begin{pmatrix} 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix}.$

# Theorem (Etingof, Schedler and Soloviev)

Let (X, r) be a finite involutive non-degenerate solution. If |X| = n, then  $G(X, r) \hookrightarrow \mathbf{GL}(n + 1, \mathbb{Z})$ .

The same trick works for almost all our solutions but there are some open cases!

#### **Example:**

Let  $X=\{1,\ldots,8\}$  and  $r(x,y)=(\sigma_x(y),\tau_y(x)),$  where

$\sigma_1 = \sigma_2 = (3745),$	$\tau_1 = \tau_2 = (3648),$
$\sigma_3 = \sigma_4 = (1826),$	$\tau_3 = \tau_4 = (1527),$
$\sigma_5 = \sigma_7 = (13872465),$	$\tau_5 = \tau_7 = (16542873),$
$\sigma_6 = \sigma_8 = (17842563),$	$\tau_6 = \tau_8 = (13562478).$

Then (X, r) is not a multipermutation solution, so G(X, r) is not diffuse. Does G(X, r) have the unique product property?

The following problem appears naturally:

# Open problem:

Let  $({\boldsymbol X},r)$  be a finite involutive solution. When  $G({\boldsymbol X},r)$  has the unique product property?

Recall that if (X, r) has size n, then G(X, r) is isomorphic to a subgroup of  $\mathbf{GL}(n+1, \mathbb{Z})$ .

Another approach through "ring theory".

### Fact

Let (X,r) be an involutive non-degenerate finite solution. Then (X,r) is multipermutation if and only if the brace G(X,r) is right nilpotent.

The connection between multipermutation solutions and right nilpotency of braces depends on the work of several different authors: Cedó, Jespers, Okniński, Gateva–Ivanova, Rump, Smoktunowicz. One more open problem.

# Open problem

Let (X, r) be a finite solution. Compute the growth series of G(X, r).

Let G be a group and X be a finite set of generators of G. The Cayley graph of the pair (G, X) is defined as the graph  $\Gamma(G, X)$  with vertices in G and edges  $G \times X$ .

The ball of radius n is defined as

$$B(1_G, n) = \{g \in G : \operatorname{dist}(1_G, g) \le n\}$$

and it has size

$$\gamma_{(G,X)}(n) = |B(1_G, n)| < \infty.$$

The pair (G, X) has a rational growth if its growth series

$$\sum_{n=0}^{\infty} \gamma_{(G,X)}(n) t^n \in \mathbb{Z}[[t]]$$

is a rational function, i.e. a function of the form  $\frac{p(t)}{q(t)}$  for some polynomials p(t) and q(t).

## Theorem (Benson)

If G is virtually abelian (i.e. it has a finite index subgroup that is abelian), then (G, X) has a rational growth for all finite X.

Benson's paper contains an algorithm, but not so easy to carry out. Computing the growth series of structure groups seems to be doable in the case of involutive solutions. What about in the general case?